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Rod D. Baker, Reg. No. 35,434

July 19, 2002 (Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants HESSELMANS, et al.

: Art Unit: 1711

: Examiner

Serial No.: 09/857,006 Filed:

August 28, 2001

: Unknown

For:

PROCESS FOR THE PREPARATION

OF ANIONIC AQUEOUS POLYMER DISPERSIONS CONTAINING NO **VOLATILE TERTIARY AMINE.**

OBTAINED DISPERSION AND COATING RESULTING FROM SAID DISPERSION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made. This Information Disclosure Statement is being filed within three months of the filing date of the application or prior to the mailing date of a first Office action. Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

The relevance with respect to the following citations listed on Forms PTO/SB/08A and 08B (formerly PTO-1449): is submitted on the basis of the accompanying EPO search report that is in the English language, that issued on the corresponding PCT patent application. Further, the U.S. references were cited in copending application Serial No. 09/867,004.

Dated: <u>July 19, 2002</u>

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Respectfully submitted,

Enclosures: PTO Form 1449;

Copy of References

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